

# The integral equation approach to kinematic dynamo theory and its application to dynamo experiments in cylindrical geometry

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## Abstract

The conventional magnetic induction equation that governs hydromagnetic dynamo action is transformed into an equivalent integral equation system. An advantage of this approach is that the computational domain is restricted to the region occupied by the electrically conducting fluid and to its boundary. This integral equation approach is first employed to simulate kinematic dynamos excited by Beltrami-like flows in a finite cylinder. The impact of externally added layers around the cylinder on the onset of dynamo actions is investigated. Then it is applied to simulate dynamo experiments within cylindrical geometry including the “von Kármán sodium” (VKS) experiment and the Riga dynamo experiment. A modified version of this approach is utilized to investigate magnetic induction effects under the influence of externally applied magnetic fields which is also important to measure the proximity of a given dynamo facility to the self-excitation threshold.

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## 1. Introduction

Dynamo action in moving electrically conducting fluids explains the existence of cosmic magnetic fields, including the fields of planets, stars, and galaxies [1]. As long as the magnetic field is weak and its influence on the velocity field is negligible we speak about the *kinematic dynamo regime*. When the magnetic field has gained higher amplitudes the velocity field will be modified, and the dynamo enters its saturation regime.

The usual way to simulate dynamos numerically is based on the induction equation for the magnetic field  $\mathbf{B}$ ,

$$\frac{\partial \mathbf{B}}{\partial t} = \nabla \times (\mathbf{u} \times \mathbf{B}) + \frac{1}{\mu\sigma} \Delta \mathbf{B}, \quad \nabla \cdot \mathbf{B} = 0, \quad (1)$$

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where  $\mathbf{u}$  is the given velocity field,  $\mu$  the permeability of the fluid, and  $\sigma$  its electrical conductivity. The behaviour of the magnetic field  $\mathbf{B}$  in Eq. (1) is controlled by the ratio of field production and field dissipation, expressed by the magnetic Reynolds number  $R_m = \mu\sigma LU$ , where  $L$  and  $U$  are typical length and velocity scales of the flow, respectively. When the magnetic Reynolds number reaches a critical value, henceforth denoted by  $R_m^c$ , the dynamo starts to operate.

Eq. (1) follows directly from pre-Maxwell's equations and Ohm's law in moving conductors. In order to make this equation solvable, boundary conditions of the magnetic field must be prescribed. In the case of vanishing excitations of the magnetic field from outside the considered finite region, the boundary condition of the magnetic field is given as follows:

$$\mathbf{B} = O(r^{-3}) \quad \text{as } r \rightarrow \infty. \quad (2)$$

Kinematic dynamos are usually simulated in the framework of the differential equation approach by solving the induction Eq. (1). For spherical dynamos, as they occur in planets and stars, the problem of implementing the non-local boundary conditions for the magnetic field is easily solved by using decoupled boundary conditions for each degree of the spherical harmonics. For other than spherically shaped dynamos, in particular for galactic dynamos and some of the recent laboratory dynamos working in cylindrical geometry [2], the handling of the non-local boundary conditions is a notorious problem.

The simplest way to circumvent this problem is to replace the non-local boundary conditions by simplified local ones (so-called vertical field condition). This is often used in the simulation of galactic dynamos [3], and has also been tested in an approximate simulation of the Riga dynamo experiment [4].

For the simulation of the cylindrical Karlsruhe dynamo experiment, the actual electrically conducting region was embedded into a sphere, and the region between the sphere and the surface of the dynamo was virtually filled by a medium of lower electrical conductivity [5,6].

Of course, both methods are connected with losses of accuracy. In order to fully implement the non-local boundary condition, Maxwell's equations must be fulfilled in the exterior, too. This can be implemented in different ways. For the finite difference simulation of the Riga dynamo, the Laplace equation was solved (for each time-step) in the exterior of the dynamo domain and the magnetic field solutions in the interior and in the exterior were matched using interface conditions [7]. A similar method, although based on the finite element method, was presented by Guermond et al. [8,9]. Another, and quite elegant, technique to circumvent the solution in the exterior was presented by Iskakov et al. [10,11] where a combination of a finite volume and a boundary element method was used to circumvent the discretization of the outer domain.

An alternative to the differential equation approach (DEA) based on the solution of the induction equation is the integral equation approach (IEA) for kinematic dynamos which basically relies on the self-consistent treatment of Biot-Savart's law. For the case of a steady dynamo acting in infinite domains of homogeneous conductivity, the integral equation approach had already been employed by a few authors [12–15]. For the case of finite domains, the simple Biot-Savart equation has to be supplemented by a boundary integral equation for the electric potential [16,17]. If the magnetic field becomes time-dependent, yet another equation for the magnetic vector potential has to be added [18].

In the present work, the integral equation approach is applied to various dynamo problems in cylindrical geometry. Two variants of the approach are presented: in the first one, it is implemented as an eigenvalue solver to solve genuine dynamo problems. In the second one, it is used to treat induction effects in the case of externally applied magnetic fields. Actually, the first variant was already at the root of the paper [19] where a surprising negative impact of sodium layers behind the propellers in the “von Kármán sodium” (VKS) experiment was identified. It was not least this finding that prompted the VKS team to modify the experiment which made it ultimately successful [20,21]. After the derivation of the equation system in cylindrical geometry, we switch over to the treatment of specific problems, including the free decay case, the mentioned “von Kármán sodium” (VKS) experiment [22,23], and the Riga dynamo experiment [24–27].

## 2. Mathematical formulation

Assume the electrically conducting fluid be confined in a finite region  $V$  with boundary  $S$ , the exterior of this region filled by insulating material or vacuum. Then, dynamo and induction processes can be described [16] by the following integral equation system:

$$\mathbf{b}(\mathbf{r}) = \frac{\mu\sigma}{4\pi} \int_V \frac{(\mathbf{u}(\mathbf{r}') \times (\mathbf{B}_0(\mathbf{r}') + \mathbf{b}(\mathbf{r}')) \times (\mathbf{r} - \mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|^3} dV' - \frac{\mu\sigma\lambda}{4\pi} \int_V \frac{\mathbf{A}(\mathbf{r}') \times (\mathbf{r} - \mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|^3} dV' - \frac{\mu\sigma}{4\pi} \int_S \phi(\mathbf{s}') \mathbf{n}(\mathbf{s}') \times \frac{\mathbf{r} - \mathbf{s}'}{|\mathbf{r} - \mathbf{s}'|^3} dS', \quad (3)$$

$$\frac{1}{2} \phi(\mathbf{s}) = \frac{1}{4\pi} \int_V \frac{(\mathbf{u}(\mathbf{r}') \times (\mathbf{B}_0(\mathbf{r}') + \mathbf{b}(\mathbf{r}')) \cdot (\mathbf{s} - \mathbf{r}')}{|\mathbf{s} - \mathbf{r}'|^3} dV' - \frac{\lambda}{4\pi} \int_V \frac{\mathbf{A}(\mathbf{r}') \cdot (\mathbf{s} - \mathbf{r}')}{|\mathbf{s} - \mathbf{r}'|^3} dV' - \frac{1}{4\pi} \int_S \phi(\mathbf{s}') \mathbf{n}(\mathbf{s}') \cdot \frac{\mathbf{s} - \mathbf{s}'}{|\mathbf{s} - \mathbf{s}'|^3} dS', \quad (4)$$

$$\mathbf{A}(\mathbf{r}) = \frac{1}{4\pi} \int_V \frac{(\mathbf{B}_0(\mathbf{r}') + \mathbf{b}(\mathbf{r}')) \times (\mathbf{r} - \mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|^3} dV' + \frac{1}{4\pi} \int_S \mathbf{n}(\mathbf{s}') \times \frac{\mathbf{B}_0(\mathbf{s}') + \mathbf{b}(\mathbf{s}')}{|\mathbf{r} - \mathbf{s}'|} dS', \quad (5)$$

where  $\mathbf{B}_0$  is the externally applied magnetic field (which might be zero),  $\mathbf{b}$  the induced magnetic field,  $\mathbf{u}$  the velocity field,  $\mu$  the permeability of the fluid (which is in most relevant cases the permeability of the vacuum),  $\sigma$  the electrical conductivity,  $\mathbf{A}$  the vector potential, and  $\phi$  the electric potential.  $\mathbf{n}$  denotes the outward directed unit vector at the boundary  $S$ . For a steady velocity field, the time dependence of all electromagnetic fields can be assumed to be  $\sim \exp \lambda t$ . We have to distinguish three different cases: For non-zero  $\mathbf{B}_0$ , and below the self-excitation threshold, the imaginary part of  $\lambda$  is simply the angular frequency of the applied and also of the induced magnetic field. For  $\mathbf{B}_0 = 0$  the equation system (3)–(5) represents an eigenvalue equation for the unknown time constant  $\lambda$  whose real part is the growth rate, and its imaginary part the angular frequency of the fields. For  $\mathbf{B}_0 = 0$  and  $\lambda = 0$ , we need only Eqs. (3) and (4) which then represent an eigenvalue problem for the critical value of the velocity  $\mathbf{u}$  at which the (non-oscillatory) dynamo starts to work.

### 2.1. General numerical scheme

Although, in this paper, we will focus mainly on cylindrical systems it might be instructive to delineate the general numerical scheme for the solution of Eqs. (3)–(5).

Assuming a specific discretization of all fields in Eqs. (3)–(5), we obtain

$$b_i = \mu\sigma [P_{ik}(B_{0k} + b_k) - \lambda R_{ij}A_j - Q_{il}\phi_l], \quad (6)$$

$$G_{ml}\phi_l = S_{mk}(B_{0k} + b_k) - \lambda T_{mj}A_j, \quad (7)$$

$$A_j = W_{jk}(B_{0k} + b_k), \quad (8)$$

where Einstein's summation convention is assumed. We have used the notion  $G_{ml} = 0.5\delta_{ml} + U_{ml}$ .  $B_{0k}$  and  $b_k$  denote the degrees of freedom of the externally added magnetic field and the induced magnetic field,  $A_j$  the degrees of freedom of the vector potential in the volume  $V$ ,  $\phi_l$  the degrees of freedom of the electric potential at the boundary surface. Note that only the matrices  $P_{ik}$  and  $S_{mk}$  depend on the velocity (the sources of the dynamo action), while  $R_{ij}$ ,  $Q_{il}$ ,  $T_{mj}$ ,  $G_{ml}$  and  $W_{jk}$  depend only on the geometry of the dynamo domain and the discretization details.

Substituting Eqs. (7) and (8) into Eq. (6) and eliminating  $A_j$  and  $\phi_l$  gives one single matrix equation for the induced magnetic field components  $b_i$ :

$$b_i = \mu\sigma [P_{ik}(B_{0k} + b_k) - \lambda R_{ij}W_{jk}(B_{0k} + b_k) - Q_{il}G_{lm}^{-1}S_{mk}(B_{0k} + b_k) + \lambda Q_{il}G_{lm}^{-1}T_{mj}W_{jk}(B_{0k} + b_k)]. \quad (9)$$

This equation can be further rewritten in the following form:

$$[\delta_{ik} - \mu\sigma E_{ik} - \mu\sigma\lambda F_{ik}]b_k = [\mu\sigma E_{ik} + \mu\sigma\lambda F_{ik}]B_{0k}, \quad (10)$$

where  $E_{ik} = P_{ik} - Q_{il}G_{lm}^{-1}S_{mk}$  and  $F_{ik} = -R_{ij}W_{jk} + Q_{il}G_{lm}^{-1}T_{mj}W_{jk}$ . To compute induction effects, the induced magnetic field is obtained by solving the algebraic equation system (10). For the kinematic dynamo, Eq. (10) reduces to the following generalized eigenvalue problem:

$$[\delta_{ik} - \mu\sigma E_{ik}]b_k = \lambda^* F_{ik}b_k, \quad (11)$$

where  $\lambda^*$  is a new time constant rescaled according to  $\lambda^* = \mu\sigma\lambda$ .

### 2.2. Cylindrical geometry

Since a number of dynamo experiments are carried out in cylindrical vessels, it is worth to specify the integral equation approach to this geometry. As long as the dynamo source (i.e. the velocity field or a corresponding mean-field quantity) is axisymmetric, the different azimuthal modes of the electromagnetic fields can be decoupled. This leads to a tremendous reduction of the numerical effort. The price we have to pay for this is the necessity to carefully deriving the dimensionally reduced version of the integral equation system.

The electrically conducting fluid is assumed to be confined in a cylinder with radius  $R$  and height  $2H$ . Introducing the cylindrical coordinate system  $(\rho, \varphi, z)$ , we have

$$\mathbf{r} = [\rho \cos \varphi, \rho \sin \varphi, z]^T, \quad \mathbf{b} = [b_\rho, b_\varphi, b_z]^T, \quad \mathbf{u} = [u_\rho, u_\varphi, u_z]^T. \tag{12}$$

The magnetic field  $\mathbf{b}$ , the electric potential  $\phi$ , and the vector potential  $\mathbf{A}$  are expanded into azimuthal modes:

$$\begin{pmatrix} \mathbf{b} \\ \phi \\ \mathbf{A} \end{pmatrix} = \sum_{m=-\infty}^{\infty} \begin{pmatrix} \mathbf{b}_m \\ \phi_m \\ \mathbf{A}_m \end{pmatrix} \exp(im\varphi). \tag{13}$$

When the velocity field is axisymmetric (i.e. it has only a component with  $m = 0$ ), one can see that the fields  $[\mathbf{b}_m, \phi_m, \mathbf{A}_m]^T$  with different  $m = 0, \pm 1, \pm 2, \dots$  decouple from each other. Henceforth, we will always re-denote  $[\mathbf{b}_m, \phi_m, \mathbf{A}_m]^T$  as  $[\mathbf{b}, \phi, \mathbf{A}]^T$  for the sake of convenience in the notation. Then, after integrating over  $\varphi$ , Eq. (3) acquires the form

$$\begin{aligned} b_\rho = & \frac{\mu\sigma}{4\pi} \left[ \int_{-H}^H \int_0^R [(z-z')E_c^m u_z - i\rho' E_s^m u_\varphi](B_{0\rho} + b_\rho) + (-i(z-z')u_z E_s^m + i\rho' E_s^m u_\rho)(B_{0\varphi} + b_\varphi) \right. \\ & + \left( i(z-z')E_s^m u_\varphi - (z-z')u_\rho E_c^m \right) (B_{0z} + b_z)] \rho' d\rho' dz' - \int_0^R \phi \rho'^2 E_s^m|_{z'=H} d\rho' - \int_{-H}^H \phi R(z-z')E_s^m|_{\rho'=R} dz' \\ & \left. + \int_0^R \phi \rho'^2 E_s^m|_{z'=-H} d\rho' - \lambda \int_{-H}^H \int_0^R ((z-z')E_s^m A_\rho + (z-z')E_c^m A_\varphi + \rho' E_s^m A_z) \rho' d\rho' dz' \right], \tag{14} \end{aligned}$$

$$\begin{aligned} b_\varphi = & \frac{\mu\sigma}{4\pi} \left[ \int_{-H}^H \int_0^R [(-\rho E_1^m - \rho' E_c^m)u_\varphi + i(z-z')u_z E_s^m](B_{0\rho} + b_\rho) + ((\rho E_1^m - \rho' E_c^m)u_\rho \right. \\ & + (z-z')u_z E_c^m)(B_{0\varphi} + b_\varphi) + \left. (-z-z')u_\varphi E_c^m - i(z-z')u_\rho E_s^m \right] (B_{0z} + b_z)] \rho' d\rho' dz' \\ & - \int_0^R \phi (\rho \rho' E_1^m|_{z'=H} - \rho'^2 E_c^m|_{z'=H}) d\rho' + \int_{-H}^H \phi R(z-z')E_c^m|_{\rho'=R} dz' \\ & - \int_0^R \phi (-\rho E_1^m|_{z'=-H} + \rho' E_c^m|_{z'=-H}) \rho' d\rho' - \lambda \int_{-H}^H \int_0^R ((z-z')E_s^m A_\varphi - (z-z')E_c^m A_\rho \\ & + (\rho E_1^m - \rho' E_c^m)A_z) \rho' d\rho' dz'], \tag{15} \end{aligned}$$

$$\begin{aligned} b_z = & \frac{\mu\sigma}{4\pi} \left[ \int_{-H}^H \int_0^R [(\rho' E_1^m - \rho E_c^m)u_z](B_{0\rho} + b_\rho) + i\rho E_s^m u_z (B_{0\varphi} + b_\varphi) \right. \\ & + \left. ((-\rho' E_1^m + \rho E_c^m)u_\rho - i\rho E_s^m u_\varphi)(B_{0z} + b_z)] \rho' d\rho' dz' \right. \\ & \left. + \int_{-H}^H \phi R \rho E_s^m|_{\rho'=R} dz' - \lambda \int_{-H}^H \int_0^R (-\rho E_s^m A_\rho + (\rho' E_1^m - \rho E_c^m)A_\varphi) \rho' d\rho' dz' \right], \tag{16} \end{aligned}$$

where the following azimuthal integrals appear:

$$E_1^m(\rho, \rho', z, z') = \int_0^{2\pi} \frac{\cos m\varphi'}{(\rho^2 + \rho'^2 - 2\rho\rho' \cos \varphi' + (z-z')^2)^{\frac{3}{2}}} d\varphi',$$

$$E_c^m(\rho, \rho', z, z') = \int_0^{2\pi} \frac{\cos m\varphi' \cos \varphi'}{(\rho^2 + \rho'^2 - 2\rho\rho' \cos \varphi' + (z-z')^2)^{\frac{3}{2}}} d\varphi',$$

$$E_s^m(\rho, \rho', z, z') = \int_0^{2\pi} \frac{\sin m\phi' \sin \phi'}{(\rho^2 + \rho'^2 - 2\rho\rho' \cos \phi' + (z - z')^2)^{\frac{3}{2}}} d\phi'.$$

Accordingly, from Eq. (4), we obtain the expressions for the electric potentials at the three different surface parts of the cylinder:

$$\begin{aligned} \frac{1}{2}\phi(s_1) = & \frac{1}{4\pi} \left[ \int_{-H}^H \int_0^R (-\rho' \rho E_s^m|_{z=H} u_z - \rho'(H - z') u_\phi E_1^m|_{z=H}) (B_{0\rho} + b_\rho) \right. \\ & + ((-\rho' \rho E_c^m|_{z=H} + \rho'^2 E_1^m|_{z=H}) u_z + \rho'(H - z') u_\rho E_1^m|_{z=H}) (B_{0\phi} + b_\phi) \\ & + ((\rho' \rho E_c^m|_{z=H} - \rho'^2 E_1^m|_{z=H}) u_\phi + \rho' \rho E_s^m|_{z=H} u_\rho) (B_{0z} + b_z) d\rho' dz' \\ & - \int_{-H}^H \phi R (\rho E_c^m|_{\rho'=R, z=H} - R E_1^m|_{\rho'=R, z=H}) dz' + 2H \int_0^R \phi E_1^m|_{z=H, z'=-H} \rho' d\rho' \\ & \left. - \lambda \int_{-H}^H \int_0^R \rho' (\rho E_c^m|_{z=H} - \rho' E_1^m|_{z=H}) A_\rho - \rho' \rho E_s^m|_{z=H} A_\phi + \rho'(H - z') E_1^m|_{z=H} A_z d\rho' dz' \right], \end{aligned} \tag{17}$$

$$\begin{aligned} \frac{1}{2}\phi(s_2) = & \frac{1}{4\pi} \left[ \int_{-H}^H \int_0^R (-\rho' R E_s^m|_{\rho=R} u_z - \rho'(z - z') u_\phi E_1^m|_{\rho=R}) (B_{0\rho} + b_\rho) \right. \\ & + (-\rho' R E_c^m|_{\rho=R} u_z + \rho'^2 E_1^m|_{\rho=R} u_z + \rho'(z - z') u_\rho E_1^m|_{\rho=R}) (B_{0\phi} + b_\phi) \\ & + (\rho' u_\phi R E_c^m|_{\rho=R} + \rho' R u_\rho E_s^m|_{\rho=R} - \rho'^2 u_\phi E_1^m|_{\rho=R}) (B_{0z} + b_z) d\rho' dz' \\ & - \int_0^R \phi(z - H) E_1^m|_{\rho=R, z'=H} \rho' d\rho' - \int_{-H}^H \phi (E_c^m|_{\rho=\rho'=R} - E_1^m|_{\rho=\rho'=R}) R^2 dz' \\ & + \int_0^R \phi(z + H) E_1^m|_{\rho=R, z'=-H} \rho' d\rho' - \lambda \int_{-H}^H \int_0^R (\rho' R E_c^m|_{\rho=R} - \rho'^2 E_1^m|_{\rho=R}) A_\rho - \rho' R E_s^m|_{\rho=R} A_\phi \\ & \left. + \rho'(z - z') E_1^m|_{\rho=R} A_z d\rho' dz' \right], \end{aligned} \tag{18}$$

$$\begin{aligned} \frac{1}{2}\phi(s_3) = & \frac{1}{4\pi} \left[ \int_{-H}^H \int_0^R (-\rho' \rho E_s^m|_{z=-H} u_z + \rho'(H + z') E_1^m|_{z=-H} u_\phi) (B_{0\rho} + b_\rho) \right. \\ & + (-\rho' \rho E_c^m|_{z=-H} u_z + \rho'^2 E_1^m|_{z=-H} u_z - \rho'(H + z') u_\rho E_1^m|_{z=-H}) (B_{0\phi} + b_\phi) \\ & + (\rho' \rho u_\phi E_c^m|_{z=-H} - \rho'^2 u_\phi E_1^m|_{z=-H} + \rho' \rho u_\rho E_s^m|_{z=-H}) (B_{0z} + b_z) d\rho' dz' \\ & + 2H \int_0^R \phi E_1^m|_{z=-H, z'=H} \rho' d\rho' - \int_{-H}^H \phi R (\rho E_c^m|_{\rho'=R, z=-H} - R E_1^m|_{\rho'=R, z=-H}) dz' \\ & \left. - \lambda \int_V (\rho \rho' E_c^m|_{z=-H} - \rho'^2 E_1^m|_{z=-H}) A_\rho - \rho' \rho E_s^m|_{z=-H} A_\phi + \rho'(-H - z') A_z E_1^m|_{z=-H} d\rho' dz' \right]. \end{aligned} \tag{19}$$

Here,  $s_1$  is the surface  $z = H$ ,  $s_2$  the surface  $\rho = R$ ,  $s_3$  the surface  $z = -H$ . Eq. (5) for the vector potential gets the form

$$\begin{aligned} A_\rho = & \frac{1}{4\pi} \left[ \int_{-H}^H \int_0^R \rho'(z - z') E_s^m (B_{0\rho} + b_\rho) + \rho'(z - z') E_c^m (B_{0\phi} + b_\phi) + \rho'^2 E_s^m (B_{0z} + b_z) d\rho' dz' \right. \\ & + \int_0^R -\rho' D_s^m|_{z'=H} (B_{0\rho} + b_\rho) - \rho' D_c^m|_{z'=H} (B_{0\phi} + b_\phi) d\rho' + \int_{-H}^H R (B_{0z} + b_z) D_s^m|_{\rho'=R} dz' \\ & \left. + \int_0^R \rho' D_s^m|_{z'=-H} (B_{0\rho} + b_\rho) + \rho' (B_{0\phi} + b_\phi) D_c^m|_{z'=-H} d\rho' \right], \end{aligned} \tag{20}$$

$$\begin{aligned} A_\phi = & \frac{1}{4\pi} \left[ \int_{-H}^H \int_0^R -\rho'(z - z') E_c^m (B_{0\rho} + b_\rho) + \rho'(z - z') E_s^m (B_{0\phi} + b_\phi) + \rho'(\rho E_1^m - \rho' E_c^m) (B_{0z} + b_z) d\rho' dz' \right. \\ & + \int_0^R \rho' D_c^m|_{z'=H} (B_{0\rho} + b_\rho) - \rho' D_s^m|_{z'=H} (B_{0\phi} + b_\phi) d\rho' - \int_{-H}^H R (B_{0z} + b_z) D_c^m|_{\rho'=R} dz' \\ & \left. + \int_0^R \rho' D_s^m|_{z'=-H} (B_{0\phi} + b_\phi) - \rho' D_c^m|_{z'=-H} (B_{0\rho} + b_\rho) d\rho' \right], \end{aligned} \tag{21}$$

$$A_z = \frac{1}{4\pi} \left[ \int_{-H}^H \int_0^R -\rho' \rho E_s^m (B_{0\rho} + b_\rho) + \rho' (\rho' E_1^m - \rho E_c^m) (B_{0\phi} + b_\phi) d\rho' dz' + \int_{-H}^H RD_1^m|_{\rho'=R} (B_{0\phi} + b_\phi) dz' \right], \tag{22}$$

where the following abbreviations of azimuthal integrals were used:

$$D_s^m(\rho, \rho', z, z') = \int_0^{2\pi} \frac{\sin \varphi' \sin m\varphi'}{(\rho^2 - 2\rho\rho' \cos \varphi' + \rho'^2 + (z - z')^2)^{\frac{1}{2}}} d\varphi',$$

$$D_c^m(\rho, \rho', z, z') = \int_0^{2\pi} \frac{\cos \varphi' \cos m\varphi'}{(\rho^2 - 2\rho\rho' \cos \varphi' + \rho'^2 + (z - z')^2)^{\frac{1}{2}}} d\varphi',$$

$$D_1^m(\rho, \rho', z, z') = \int_0^{2\pi} \frac{\cos m\varphi'}{(\rho^2 - 2\rho\rho' \cos \varphi' + \rho'^2 + (z - z')^2)^{\frac{1}{2}}} d\varphi'.$$

In our numerical scheme, we typically use equidistant grid points  $\rho_i = i\Delta r$  and  $z_j = j\Delta z$  to discretize the intervals  $[0, R]$  and  $[-H, H]$ , respectively (in some applications non-equidistant grid points are also used). The extended trapezoidal rule is applied to approximate all the integrals in Eqs. (14)–(22). Then we obtain the following matrix equations:

$$\begin{pmatrix} b_\rho \\ b_\phi \\ b_z \end{pmatrix} = \mu\sigma \left[ \mathbf{P} \begin{pmatrix} B_{0\rho} + b_\rho \\ B_{0\phi} + b_\phi \\ B_{0z} + b_z \end{pmatrix} - \mathbf{Q} \begin{pmatrix} \phi_{s1} \\ \phi_{s2} \\ \phi_{s3} \end{pmatrix} - \lambda \mathbf{R} \begin{pmatrix} A_\rho \\ A_\phi \\ A_z \end{pmatrix} \right], \tag{23}$$

$$\frac{1}{2} \begin{pmatrix} \phi_{s1} \\ \phi_{s2} \\ \phi_{s3} \end{pmatrix} = \mathbf{S} \begin{pmatrix} B_{0\rho} + b_\rho \\ B_{0\phi} + b_\phi \\ B_{0z} + b_z \end{pmatrix} - \lambda \mathbf{T} \begin{pmatrix} A_\rho \\ A_\phi \\ A_z \end{pmatrix} - \mathbf{U} \begin{pmatrix} \phi_{s1} \\ \phi_{s2} \\ \phi_{s3} \end{pmatrix}, \tag{24}$$

$$\begin{pmatrix} A_\rho \\ A_\phi \\ A_z \end{pmatrix} = \mathbf{W} \begin{pmatrix} B_{0\rho} + b_\rho \\ B_{0\phi} + b_\phi \\ B_{0z} + b_z \end{pmatrix}, \tag{25}$$

where the matrix elements of  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$ ,  $\mathbf{S}$ ,  $\mathbf{T}$ ,  $\mathbf{U}$ , and  $\mathbf{W}$  can be read off from Eqs. (14)–(22). Combining Eqs. (23)–(25), we obtain

$$(\mathbf{I} - \mu\sigma\mathbf{E} - \mu\sigma\lambda\mathbf{F})\mathbf{b} = \mu\sigma(\mathbf{E} + \lambda\mathbf{F})\mathbf{B}_0, \tag{26}$$

where

$$\mathbf{E} = \mathbf{P} - \mathbf{Q} \cdot \left(\frac{1}{2}\mathbf{I} + \mathbf{U}\right)^{-1} \cdot \mathbf{S}, \tag{27}$$

$$\mathbf{F} = \mathbf{Q} \cdot \left(\frac{1}{2}\mathbf{I} + \mathbf{U}\right)^{-1} \cdot \mathbf{T} \cdot \mathbf{W} - \mathbf{R} \cdot \mathbf{W}. \tag{28}$$

After solving the algebraic equation system (26), the induced magnetic field  $\mathbf{b}$  can be obtained for the magnetic induction process.

For the kinematic dynamo problem, the following generalized eigenvalue problem has to be solved:

$$(\mathbf{I} - \mu\sigma\mathbf{E}) \cdot \mathbf{b} = \lambda^* \mathbf{F} \cdot \mathbf{b} \tag{29}$$

for the given velocity field  $\mathbf{u}$ , where  $\lambda^* = \mu\sigma\lambda$ . Note that a quite similar numerical scheme can be established in spherical geometry for the case of axisymmetric dynamo sources.

### 3. Numerical implementation and results

In this section, the integral equation approach will be applied to various cylindrical dynamo and induction problems of experimental relevance. We start with the problem of the free decay of a magnetic field in a

cylinder. Then, a class of Beltrami-like flows will be considered. In all the problems we use the QZ algorithm [28] which is a modification of the QR algorithm for the case of generalized non-Hermitian eigenvalue problems.

The integral equation approach is further employed to investigate the induction effect of the VKS experiment. The algebraic equation system is solved by the LU decomposition. The obtained induced magnetic field will be compared with the data measured in experiment.

At the end we deal with the Riga dynamo experiment with its large ratio of height to radius. Due to the large resulting matrices we shift here from direct matrix inversion methods to the generalized inverse iteration method [29].

### 3.1. Free field decay in a finite cylinder

The simplest problem to start with is the free decay of a magnetic field in a finite length cylinder of radius 1 and height 1. This example was already treated by Iskakov et al. [10]. Within the integral equation approach we use a grid resolution of  $20 \times 20$  in the  $r$ - $z$ -plane. In Fig. 1, we show the magnetic field lines of the slowest decaying eigenfield, which has the same dipolar structure as in Fig. 8 in [10].

### 3.2. Beltrami-like flows

In this section, we consider a class of flows in finite cylinders which we call “Beltrami-like” flows. Actually, Beltrami was the first [30] to consider velocity fields  $\mathbf{v}(\mathbf{r})$  with the property  $\nabla \times \mathbf{v}(\mathbf{r}) = \beta \mathbf{v}(\mathbf{r})$ . Later, Chandrasekhar and Kendall [31] calculated eigenfunctions of the curl operator which give a complete base of helical fields in many geometries, including the sphere and the cylinder [32,33]. In plasma physics, magnetic fields fulfilling the Beltrami condition play a significant role since they are force-free. In dynamo theory, there is particular interest in such flows since they are also helicity maximizing. Helicity maximizing flows, in turn, are well known to possess quite small critical  $R_m$ , a fact that was utilized, e.g. in the optimization of the Riga dynamo experiment [7]. The actual flow structures that will be treated in this work were proposed by Léorat [34], and a certain sub-class of them (with ideally conducting boundary conditions, however) was considered by Wang et al. [35].

We use the notation  $s_m^\pm t_n$  to characterize flows with  $m$  poloidal vortices and  $n$  toroidal vortices. The sign  $\pm$  indicates that the poloidal flow in the equatorial plane is directed inward (+) or outward (−), respectively. An impression of the topology of the flow structure can be obtained from Fig. 2 where we have also indicated possible propeller or rotating disk configurations to produce such flows.

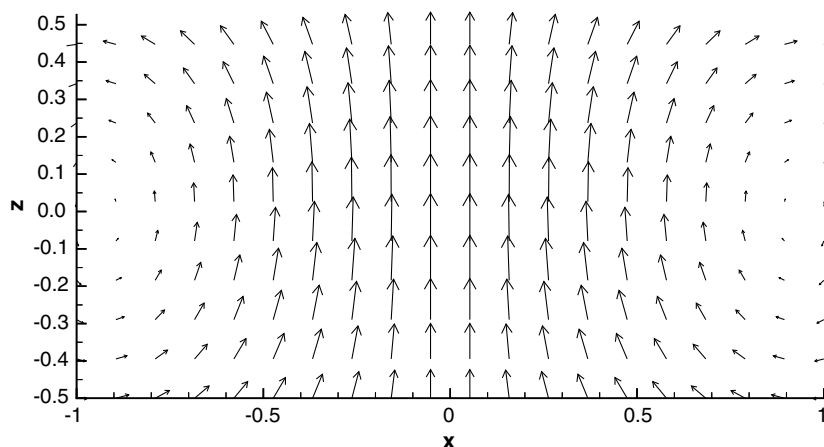


Fig. 1. Freely decaying magnetic field in a finite cylinder with  $R = 2H = 1$ .



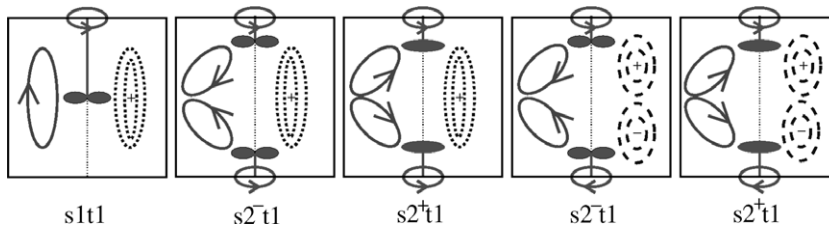


Fig. 2. Illustration of the considered flow topologies  $s_m^\pm t_n$ , and of typical propeller or rotating disk configurations to produce them.

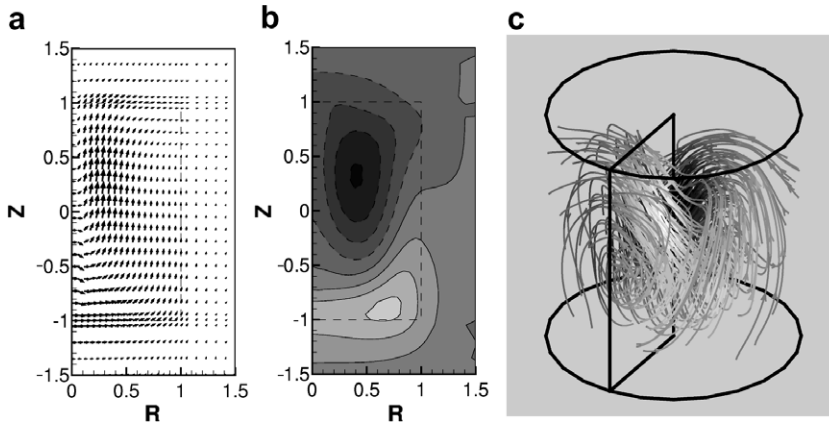


Fig. 3. Magnetic field structure for  $s_1 t_1$  flow with  $w = 0.5$ . (a) Poloidal field component. (b) Contour plot of the toroidal field component. (c) Three dimensional field structure.

The analytical expression of the flows to be considered in this paper are as follows:

$$v_r(r, z) = c_1 J_1(\alpha r) \cos(m\pi(z + H)/2H), \tag{30}$$

$$v_\phi(r, z) = \tau J_1(\alpha r) \cos(n\pi(z + H)/2H), \tag{31}$$

$$v_z(r, z) = -c_1 c_2 \alpha / \pi J_0(\alpha r) \sin(m\pi(z + H)/2H), \tag{32}$$

where  $\alpha = 3.8317$  is the first root of the Bessel function  $J_1$ . In the following, we will restrict ourselves to  $m, n = 1, 2$ .  $c_1 = 1$  for all  $s_m^+ t_n$  flows,  $c_1 = -1$  for all  $s_m^- t_n$  flows,  $c_2 = H/2$  for  $s_1 t_1$  flow and for other flows  $c_2 = 1$ . Again,  $2H$  is the height of the cylinder,  $z \in [-H, H]$ . In the following discussion the height of the cylinder is set to 2 and the radius is fixed to 1. The parameter  $\tau$  indicates the ratio of toroidal to poloidal flow. We will consider values of  $\tau$  close to 2 which turned out to be advantageous for dynamo action. Actually, this value  $\tau = 2$  is not the value which would correspond to an exact Beltrami flow. This is the reason why we have called the considered flows ‘‘Beltrami-like’’.

In what follows, we will use a definition of the magnetic Reynolds number  $R_m$  which is based on the maximum of the axial velocity. In order to display the results for the  $s_m^\pm t_n$  in one common figure we will use the  $\pm$  as a sign of  $R_m$  according to  $R_m = \pm \mu \sigma R |v_z^{\max}|$ .

For the case without external layer ( $w = 0$ ) we found that only the  $s_2^+ t_2$  dynamo is steady, all the others are oscillatory. However, if an external layer around the finite cylinder is added, even if the thickness of the layer is quite small, for example, equal to 0.05, the  $s_2^- t_2$  dynamo becomes steady.

The magnetic field structures for  $s_1 t_1$ ,  $s_2^- t_1$ ,  $s_2^+ t_1$ ,  $s_2^- t_2$  and  $s_2^+ t_2$  flows are shown, at an azimuthal section at  $\phi = 0$ , in Figs. 3, 5, 6, 8, 9, respectively. In all these cases, an externally added layer with thickness equal to 0.5 has been considered. The variations of growth rates of the magnetic fields with respect to the magnetic Reynolds number for all the flows are depicted in Figs. 4, 7 and 10. From these figures, one can see that the externally added layer has a very strong impact on the onset of dynamo actions. For example, the critical magnetic



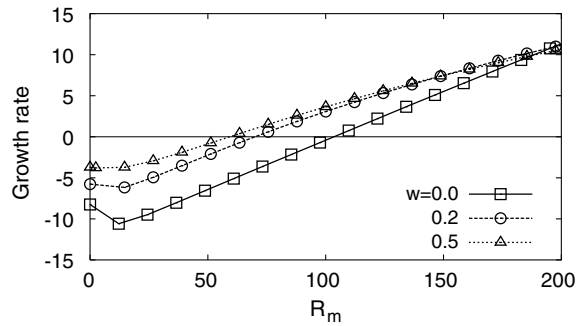


Fig. 4. Growth rates for  $s_1 t_1$  flow and the influence of the externally added layers with thickness  $w$ .

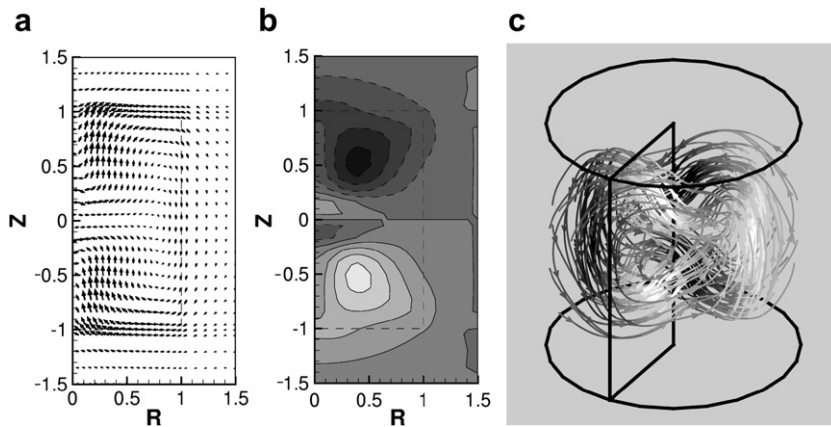


Fig. 5. Magnetic field structure for  $s_2^- t_1$  flow.

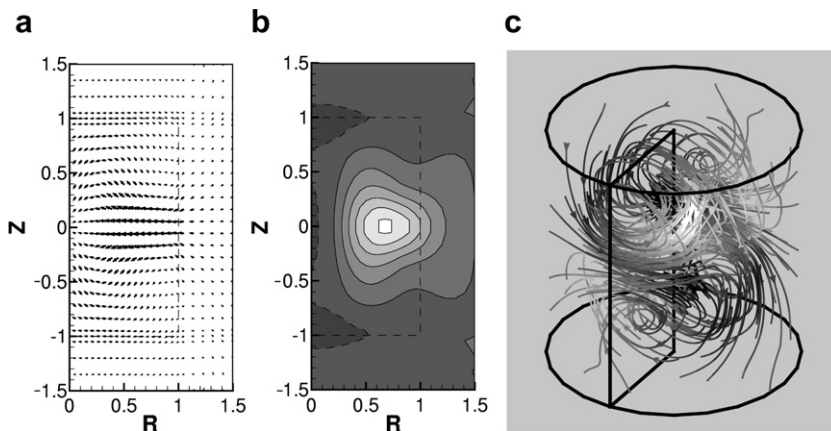


Fig. 6. Magnetic field structure for  $s_2^+ t_1$  flow.

Reynolds number for the flow  $s_2^- t_1$  is approximately equal to 143 in the case  $t_1$  without external layer. When an external layer with thickness 0.2 is considered, the critical magnetic Reynolds number reduces to 61. If the thickness of the external layer is increased to 0.5, the critical magnetic Reynolds number further declines to 40. Finally, one can also note that there is a tendency that when the thickness of the external layer becomes larger, the curves of the growth rates become more symmetric with respect to the ordinate axis at  $R_m = 0$ .

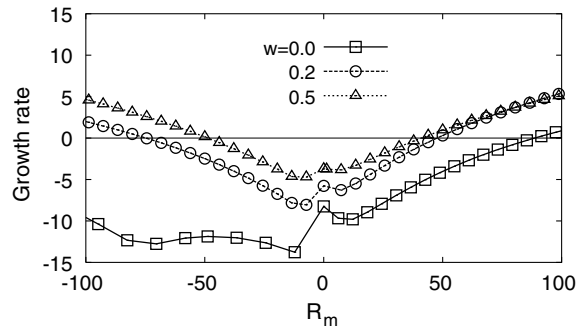


Fig. 7. Growth rates for  $s_2^+ t_1$  flow and influence of the externally added layers.

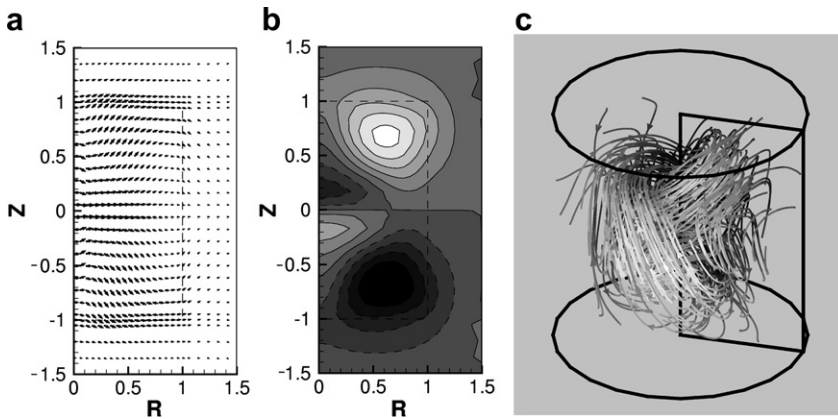


Fig. 8. Magnetic field structure for  $s_2^- t_2$  flow.

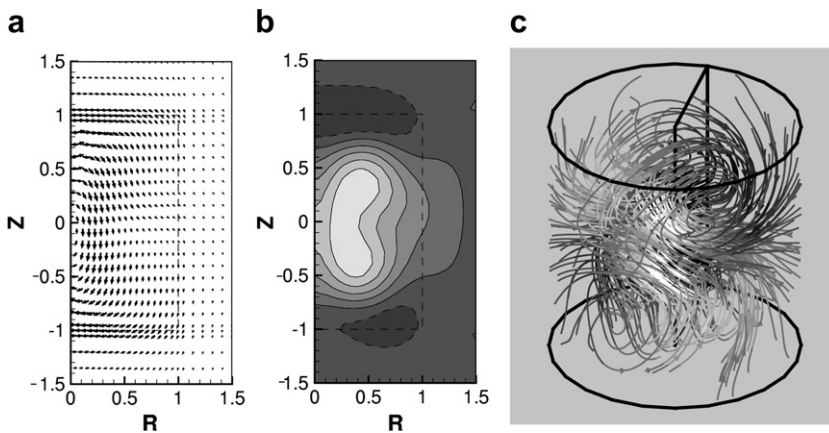


Fig. 9. Magnetic field structure for  $s_2^+ t_2$  flow.

In Fig. 11 we show, for the flow  $s_2^+ t_2$  with different widths  $w$  of the external layer, the dependence of the critical  $R_m$  on the parameter  $\tau$  which measures the ratio of toroidal to poloidal motion. For  $w = 0$  we show, in addition to the results of the integral equation approach, also the results of a finite difference code based on the differential equation approach as it was described in [7] and also used in [19]. In general, we observe a good correspondence of the results of both methods which, however, deteriorates slightly for increasing values of  $\tau$ .

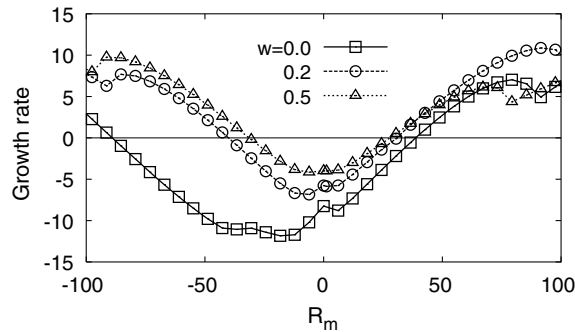


Fig. 10. Growth rates for  $s_2^+ t_2$  flow and influence of the externally added layers.

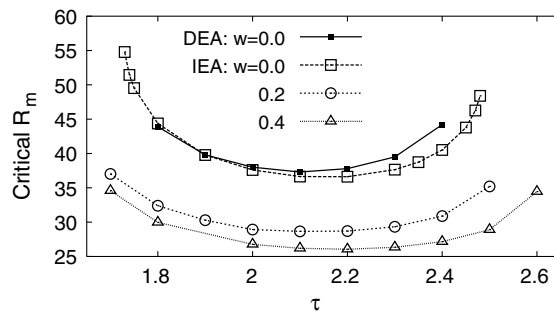


Fig. 11. Critical  $R_m$  for the  $s_2^+ t_2$  flow in dependence on the toroidal/poloidal ratio  $\tau$  for different thicknesses  $w$  of the surrounding layer. For  $w = 0$ , we compare the results with the results of a differential equation solver.

### 3.3. Induction effects in the VKS Experiment

Since the  $s_2^+ t_2$  flows are characterized by a comparatively low critical  $R_m$ , much focus was laid on their realization in experimental dynamos. Both the spherical Madison dynamo experiment (MDX) [36,37] and the cylindrical “von Kármán sodium” experiment (VKS) [22,23] are realizations of the  $s_2^+ t_2$  flow.

Although a recent version (using impellers with a high magnetic permeability  $\mu_{\text{rel}} \sim 200$ , and a thin ring in the equatorial plane to reduce the turbulence level) of the VKS 2 experiment has shown dynamo action [20] and even a kind of polarity reversals [21], the under-performance of the original VKS 2 experiment compared to numerical predictions is still a matter of interest. The possibility, that the rather high turbulence level impedes the self-excitation, was discussed in several recent papers [38,39]. While this impact of turbulent fluctuations on the dynamo action cannot be addressed within our kinematic dynamo solver, another possible explanation was given in [19] where we discussed the detrimental effect of sodium layers behind the propellers. The sheer existence of these layers leads already to a significant increase of the critical  $R_m$  which becomes dramatic if a realistic rotation therein is taken into account.

Even below the threshold of self-excitation, one can evaluate the quality of a dynamo by measuring induced magnetic fields. For the VKS 1 experiment, this had been done by Marie et al. [40]. In the VKS 2 experiment without iron propellers, the measured induced magnetic fields, for large  $R_m$ , are significantly weaker than the numerically predicted ones. Using our method we will try to figure out if this effect can also be attributed to the existence of lid layers and the flow therein.

A schematic sketch of the VKS 2 experiment is given in Fig. 12(a). A rather realistic flow field, resulting from the so-called TM73 propeller [41] (which was identified as a sort of optimal flow field), is considered in our calculation. Some interpolations were necessary to project this flow field onto the grids used in our code. More details on this can be found in [19].

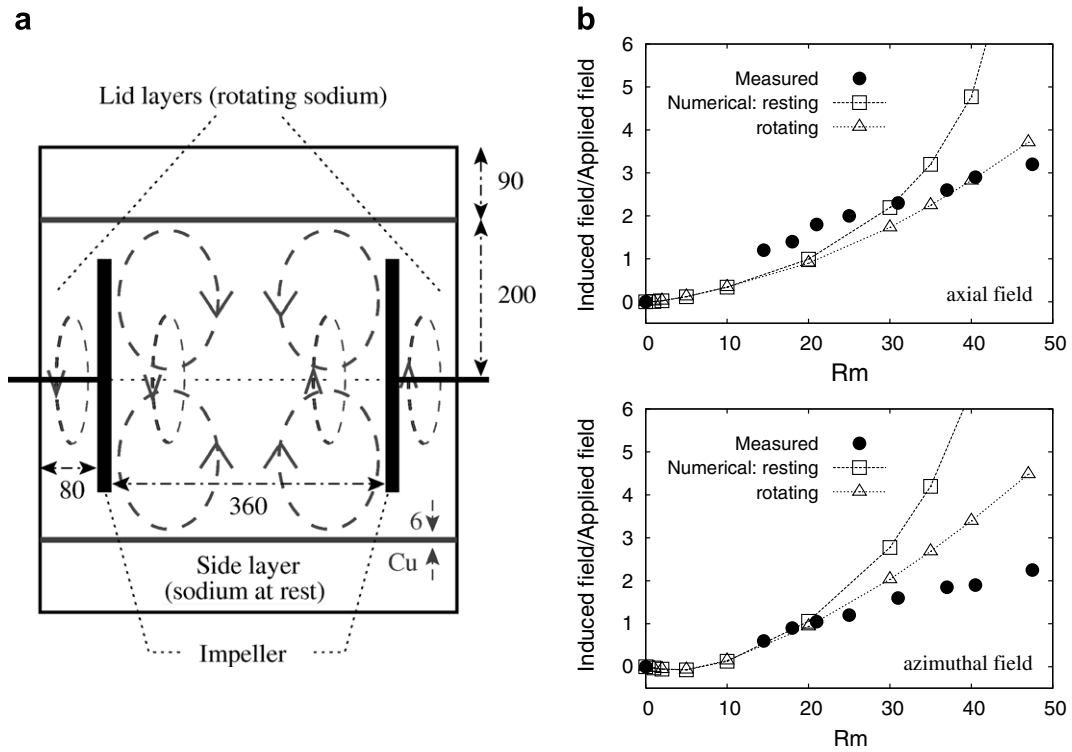


Fig. 12. Induction effects in the VKS experiment. (a) Schematic drawing of the experimental set-up. Two counter-rotating impellers drive a  $s_2^+ t_2$  flow within a cylindrical vessel. The dimensions are given in mm. (b) Ratio of induced axial and azimuthal magnetic field to the applied magnetic field. Experimental results at radius  $r = 0.5$  (taken from [42]) and numerical results under the assumptions of static and rotating lid layers.

In the original VKS 2 experiment a static external magnetic field was applied in the transverse direction. The induced magnetic field was measured in the direction perpendicular to the externally added magnetic field at the point  $r = 0.5$  in the equatorial plane. In the following the induced magnetic fields near the  $r = 0.5$  points obtained by our integral equation approach are compared with the measured ones. The influence of a rotating flow in the lid layer on the induced field is investigated. Two kinds of velocity field in the lid layer are considered. The first one is a static lid layer. The second one is that only a rotation of the lid layer is assumed, but  $v_\phi$  remains constant in the axial direction, its dependence on the radial variable is the same as on the interface between the lid layer and inner part of the cylinder. For both cases, the numerical axial and azimuthal induced fields around the point  $r = 0.5$  and the experimental result at  $r = 0.5$  on the equatorial plane are shown in Fig. 12(b) for different magnetic Reynolds numbers. From these figures one can see that the case with rotation shows a best agreement with the experimental one. This applies particularly to the axial magnetic field, while for the azimuthal magnetic field, when the magnetic Reynolds number is larger than 30, there is still a gap between the numerical results and the experimental ones.

Nevertheless, it is quite likely that it is indeed the existence of lid layers and some azimuthal flow therein which is, at least partially, responsible for the unexpected under-performance of the original VKS 2 dynamo experiment.

### 3.4. Riga experiment

In this subsection, the integral equation approach is used to re-simulate the kinematic regime of the Riga dynamo experiment. In this experiment, magnetic field self-excitation had been observed for the first time in November 1999 [24]. Since that time, it has served for extensive investigations of the kinematic and the saturated dynamo regime [25,27,2,26]. Basically, this experiment (Fig. 13(a)) consists of three concentric cylinders

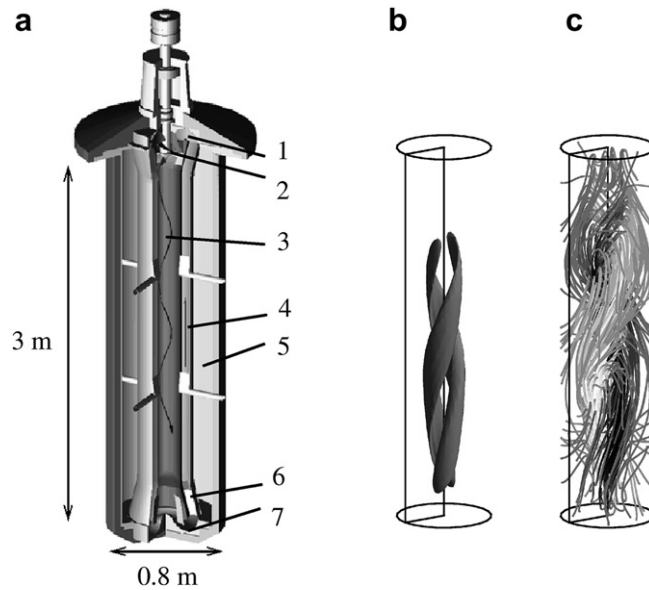


Fig. 13. Riga dynamo and its eigenfield. (a) Central module of the experimental set-up. (1) Upper bending region; (2) propeller; (3) central helical flow region; (4) return-flow region; (5) outer sodium region; (6) guiding vanes for straightening the flow in the return flow; (7) lower bending region. (b) Isosurface plot of the magnetic energy of the simulated eigenfield. The isosurface corresponds to 25% of the maximum magnetic energy. (c) Magnetic field lines of the eigenfield.

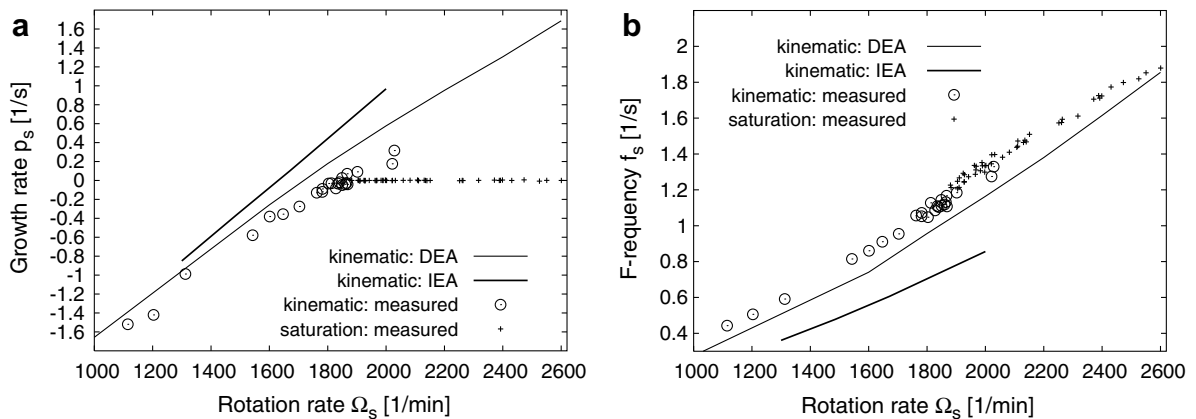


Fig. 14. Comparison of the IEA and DEA results for the Riga dynamo experiment, together with experimental results. (a) Growth rate. (b) Frequency.

filled with liquid sodium. In the innermost cylinder, a helical flow with a speed up to 20 m/s is produced by a motor-driven propeller. After being redirected in a bending region, sodium flows back in a second cylinder. The outermost cylinder filled with sodium at rest is only intended to improve the boundary conditions for the magnetic field.

This experiment had been optimized and analyzed extensively within the differential equation approach (DEA) by means of a finite difference solver [7]. The values of the velocity field on the grids used in our code are obtained by interpolating the experimental velocity field measured in a water-dummy experiment. The influence of less conducting stainless steel walls has not been taken into account.

Within the integral equation approach, the computations have been carried out on a  $100 \times 20$  grid in  $z$ - and  $r$ -direction. The structure of the magnetic eigenfield is also illustrated in Fig. 13. Fig. 13(b) shows the

isosurface of the magnetic field energy (this time at 25% of the maximum value). In Fig. 13(c), the magnetic eigenfield lines are depicted. Basically the structure is the same as that resulting from the differential equation approach [27] with a  $401 \times 64$  grid in  $z$ - and  $r$ -direction.

The dependence of the growth rate and frequency of the eigenmode of the Riga dynamo experiment on the rotation rate is shown in Fig. 14(a) and (b), respectively. The comparison with the DEA results shows that the slopes of the curves are in good agreement. However, we see that the limited grid resolution in the IEA leads to significant shifts in the order of 5% towards lower rotation rates for the growth rate and of 10% towards higher rotation rate for the frequency. Hence, it could be said that the Riga dynamo experiment marks a margin of reasonable applicability of the IEA with its need to invert large matrices which are fully occupied.

#### 4. Concluding remarks

In the present paper, the integral equation approach to kinematic dynamos has been applied to non-spherical geometries. The method was examined by its application to the free field decay. The comparison of the obtained results with other methods shows a good agreement. The integral equation approach was extended to investigate induction effects of the VKS experiment. The obtained induced magnetic field shows a satisfactory agreement with the experimental result when the effect of the lid layers and a certain azimuthal flow therein are taken into account. Finally, it was applied to simulate the Riga dynamo experiment.

It can be concluded that the integral equation approach is robust and reliable and can be used for practical purposes, although limits of its applicability are seen for the Riga dynamo experiment with its large ratio of length to radius.

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